

IN THE UNITED STATES DISTRICT COURT  
FOR THE NORTHERN DISTRICT OF CALIFORNIA

IN RE LDK SOLAR SECURITIES  
LITIGATION.

No. C 07-05182 WHA

\_\_\_\_\_  
This Document Relates to:

All Actions.  
\_\_\_\_\_

**THIRD AMENDED CASE  
MANAGEMENT ORDER**

Plaintiff's unopposed application for modification of the second amended case management order is **GRANTED**. With reluctance, the Court will approve yet another delay, but the parties shall please not ask for any more extensions. The case management deadlines are hereby modified as follows.

1. Five depositions will be taken in Hawaii between **AUGUST 10, 2009** and **AUGUST 14, 2009**, and fifteen depositions will be taken in Hong Kong between **SEPTEMBER 7, 2009** and **SEPTEMBER 25, 2009**. The parties are ordered to meet and confer to determine which witnesses will be deposed during each period. All deponents must appear in that time period. Plaintiff may depose twenty witnesses and shall provide defense counsel a list of those witnesses by May 21, 2009, at noon. If it is subsequently established that defendants failed to produce properly requested documents by the above-listed deadline, or failed to produce witnesses for deposition in the above-listed time frame, the discovery cutoff will be extended to allow further depositions and defense counsel

1 will be required to pay the costs therefor. Moreover, in that instance the Court will  
2 consider so instructing the jury.

- 3 2. The last date for designation of expert testimony and disclosure of full expert reports  
4 under FRCP 26(a)(2) as to any issue on which a party has the burden of proof  
5 (“opening reports”) shall be **OCTOBER 29, 2009**. Within **FOURTEEN CALENDAR DAYS**  
6 thereafter, all other parties must disclose any responsive expert testimony with full  
7 expert reports responsive to opening reports (“opposition reports”). Within  
8 **SEVEN CALENDAR DAYS** thereafter, the opening parties must disclose any reply reports  
9 rebutting specific material in opposition reports. Reply reports must be limited to true  
10 rebuttal and should be very brief. They should not add new material that should have  
11 been placed in the opening report. Without a reply report disclosure, no reply/rebuttal  
12 expert testimony will ordinarily be allowed. The cutoff for all expert discovery shall be  
13 **FOURTEEN CALENDAR DAYS** after the deadline for reply reports. In aid of preparing an  
14 opposition or reply report, a responding party may depose the adverse expert  
15 sufficiently before the deadline for the opposition or reply report so as to use the  
16 testimony in preparing the response. Experts must make themselves readily available  
17 for such depositions. Alternatively, the responding party can elect to depose the expert  
18 later in the expert-discovery period. An expert, however, may be deposed only once  
19 unless the expert is used for different opening and/or opposition reports, in which case  
20 the expert may be deposed independently on the subject matter of each report. At least  
21 **28 CALENDAR DAYS** before the due date for opening reports, each party shall serve a  
22 list of issues on which it will offer any expert testimony in its case-in-chief (including  
23 from non-retained experts). This is so that all parties will be timely able to obtain  
24 counter-experts on the listed issues and to facilitate the timely completeness of all  
25 expert reports. Failure to so disclose may result in preclusion.
- 26 3. As to damages studies, the cut-off date for *past damages* will be as of the expert report  
27 (or such earlier date as the expert may select). In addition, the experts may try to  
28 project *future damages* (i.e., after the cut-off date) if the substantive standards for

1 future damages can be met. With timely leave of Court or by written stipulation, the  
2 experts may update their reports (with supplemental reports) to a date closer to the time  
3 of trial.

- 4 4. At trial, the direct testimony of experts will be limited to the matters disclosed in their  
5 reports. Omitted material may not ordinarily be added on direct examination. This  
6 means the reports must be complete and sufficiently detailed. Illustrative animations,  
7 diagrams, charts and models may be used on direct examination only if they were part  
8 of the expert's report, with the exception of simple drawings and tabulations that  
9 plainly illustrate what is already in the report, which can be drawn by the witness at  
10 trial or otherwise shown to the jury. If cross-examination fairly opens the door,  
11 however, an expert may go beyond the written report on cross-examination and/or  
12 redirect examination. By written stipulation, of course, all sides may relax these  
13 requirements.
- 14 5. To head off a recurring problem, experts lacking percipient knowledge should avoid  
15 vouching for the credibility of witnesses, *i.e.*, whose version of the facts in dispute is  
16 correct. This means that they may not, for example, testify that based upon a review of  
17 fact depositions and other material supplied by counsel, a police officer did (or did not)  
18 violate standards. Rather, the expert should be asked for his or her opinion based —  
19 explicitly — upon an assumed fact scenario. This will make clear that the witness is  
20 not attempting to make credibility and fact findings and thereby to invade the province  
21 of the jury. Of course, a qualified expert can testify to relevant customs, usages,  
22 practices, recognized standards of conduct, and other specialized matters beyond the  
23 ken of a lay jury. This subject is addressed further in the trial guidelines referenced in  
24 paragraph 13 below.
- 25 6. Counsel need not request a motion hearing date and may notice non-discovery motions  
26 for any Thursday (excepting holidays) at 8:00 a.m. The Court sometimes rules on the  
27 papers, issuing a written order and vacating the hearing. If a written request for oral  
28 argument is filed before a ruling, stating that a lawyer of four or fewer years out of law

1 school will conduct the oral argument or at least the lion's share, then the Court will  
2 hear oral argument, believing that young lawyers need more opportunities for  
3 appearances than they usually receive.

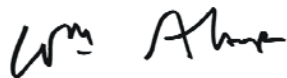
- 4 7. The last date to file dispositive motions shall be **JANUARY 7, 2010**. No dispositive  
5 motions shall be heard more than 35 days *after* this deadline, *i.e.*, if any party waits  
6 until the last day to file, then the parties must adhere to the 35-day track in order to  
7 avoid pressure on the trial date.
- 8 8. The **FINAL PRETRIAL CONFERENCE** shall be at **2:00 P.M. on MARCH 8, 2010**. For the  
9 form of submissions for the final pretrial conference and trial, please see paragraph 13  
10 below.
- 11 9. A **JURY/BENCH TRIAL** shall begin on **MARCH 22, 2010**, at **7:30 A.M.**, in Courtroom 9,  
12 19th Floor, 450 Golden Gate Avenue, San Francisco, California, 94102. The trial  
13 schedule and time limits shall be set at the final pretrial conference. Although almost  
14 all trials proceed on the date scheduled, it may be necessary on occasion for a case to  
15 trail, meaning the trial may commence a few days or even a few weeks after the date  
16 stated above, due to calendar congestion and the need to give priority to criminal trials.  
17 Counsel and the parties should plan accordingly, including advising witnesses.
- 18 10. Counsel may not stipulate around the foregoing dates without Court approval.
- 19 11. While the Court encourages the parties to engage in settlement discussions, please do  
20 not ask for any extensions on the ground of settlement discussions or on the ground that  
21 the parties experienced delays in scheduling settlement conferences, mediation or ENE.  
22 The parties should proceed to prepare their cases for trial. No continuance (even if  
23 stipulated) shall be granted on the ground of incomplete preparation without competent  
24 and detailed declarations setting forth good cause.
- 25 12. To avoid any misunderstanding with respect to the final pretrial conference and trial,  
26 the Court wishes to emphasize that all filings and appearances must be made — on pain  
27 of dismissal, default or other sanction — unless and until a dismissal fully resolving the  
28 case is received. It will not be enough to inform the clerk that a settlement in principle

1 has been reached or to lodge a partially executed settlement agreement or to lodge a  
2 fully executed agreement (or dismissal) that resolves less than the entire case. Where,  
3 however, a fully-executed settlement agreement clearly and fully disposing of the entire  
4 case is lodged reasonably in advance of the pretrial conference or trial and only a  
5 ministerial act remains, the Court will arrange a telephone conference to work out an  
6 alternate procedure pending a formal dismissal.

- 7 13. If you have not already done so, please read and follow the “Supplemental Order to  
8 Order Setting Initial Case Management Conference in Civil Cases Before Judge  
9 William Alsup” and other orders issued by the Clerk’s office when this action was  
10 commenced. Among other things, the supplemental order explains when submissions  
11 are to go to the Clerk’s Office (the general rule) versus when submissions may go  
12 directly to chambers (rarely). With respect to the final pretrial conference and trial,  
13 please read and follow the “Guidelines For Trial and Final Pretrial Conference in Civil  
14 [Jury/Bench] Cases Before The Honorable William Alsup.” All orders and guidelines  
15 referenced in the paragraph are available on the district court’s website at  
16 <http://www.cand.uscourts.gov>. The website also includes other guidelines for  
17 attorney’s fees motions and the necessary form of attorney time records for cases  
18 before Judge Alsup. If you do not have access to the Internet, you may contact Deputy  
19 Clerk Dawn K. Toland at (415) 522-2020 to learn how to pick up a hard copy.
- 20 14. All pretrial disclosures under FRCP 26(a)(3) and objections required by FRCP 26(a)(3)  
21 must be made on the schedule established by said rule.

22  
23 **IT IS SO ORDERED.**

24  
25 Dated: July 8, 2009.

26   
27 WILLIAM ALSUP  
28 UNITED STATES DISTRICT JUDGE